

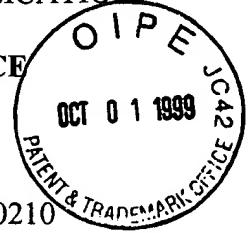
Section 4

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Ramana V. Gollamudi  
SERIAL NUMBER: 09/352,563  
FILING DATE: July 13, 1999  
TITLE: METHOD AND APPARATUS FOR PROVIDING DISTRIBUTED  
COMMUNICATION ROUTING

EXAMINER:  
ART GROUP: 2731  
DOCKET NO.: 1400.4100210



Date: September 24, 1999

Attention: Box Missing Parts  
Honorable Commissioner of  
Patents & Trademarks  
Washington, DC 20231

*I hereby certify that this paper is being deposited with the United States  
Postal Service as first-class mail in an envelope addressed to: Assistant  
Commissioner for Patents, Washington, D.C. 20231, on this date.*

9.28.99  
Date

Kristen G. Herzog  
Kristen G. Herzog

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed August 10,  
1999, enclosed herewith please find:

- (a) Declaration executed by the inventors;
- (b) Assignment to Newbridge Networks Corporation;
- (c) Assignment cover sheet;
- (d) Transmittal Form;
- (e) Fee Transmittal Form;
- (f) Copy of the Notice to File Missing Parts of Application;
- (g) Check in the amount of \$1416.00; and
- (h) Return postcard

Respectfully submitted,

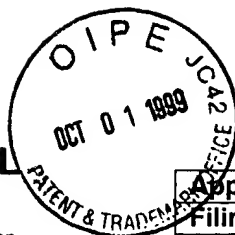
By: Paul M. Anderson

Paul M. Anderson  
Registration No.: 39,896  
Attorney for Applicant(s)

Markison & Reckamp, P.C.  
175 West Jackson Boulevard – Suite 1015  
Chicago, Illinois 60604  
Tel: (312) 939-9800  
Fax: (312) 939-9828

**FEE TRANSMITTAL**

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$) 1416.00**

Complete if Known

|                        |                     |
|------------------------|---------------------|
| Application Number     | 09/352,563          |
| Filing Date            | July 13, 1999       |
| First Named Inventor   | Ramana V. Gollamudi |
| Group Art Unit         | 2731                |
| Examiner Name          |                     |
| Attorney Docket Number | 1400.4100210        |

**METHOD OF PAYMENT (check one)**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

|                        |  |
|------------------------|--|
| Deposit Account Number |  |
| Deposit Account Name   |  |

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

**FEE CALCULATION****1. FILING FEE**

| Large Entity Fee Code | Small Entity Fee Code | Fee Description        | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 101                   | 760                   | Utility filing fee     | 760.00   |
| 106                   | 310                   | Design filing fee      |          |
| 107                   | 480                   | Plant filing fee       |          |
| 108                   | 760                   | Reissue filing fee     |          |
| 114                   | 150                   | Provisional filing fee |          |

**SUBTOTAL (1) (\$) 760.00****2. CLAIMS**

| Claims        | Extra      | Fee from below | Fee Paid |
|---------------|------------|----------------|----------|
| Total 34      | (-20 =) 14 | 18             | 252.00   |
| Indep. 6      | (-3 =) 3   | 78             | 234.00   |
| Multiple Dep. |            |                |          |

| Large Entity Fee Code | Small Entity Fee Code | Fee Description   |
|-----------------------|-----------------------|---|
| 103                   | 18                    | Claims in excess of 20                                  |
| 102                   | 78                    | Independent claims in excess of 3                       |
| 104                   | 260                   | Multiple dependent claim                                |
| 109                   | 78                    | Reissue independent claims over original patent         |
| 110                   | 18                    | Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$) 486.00****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee Code | Small Entity Fee Code | Fee Description  | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 105                   | 130                   | Surcharge - late filing fee or oath  | 130.00   |
| 127                   | 50                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                   | 130                   | Non-English specification  |          |
| 147                   | 2,520                 | For filing a request for reexamination                                     |          |
| 112                   | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 113                   | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115                   | 110                   | Extension for reply within first month                                     |          |
| 116                   | 380                   | Extension for reply within second month                                    |          |
| 117                   | 870                   | Extension for reply within third month                                     |          |
| 118                   | 1,360                 | Extension for reply within fourth month                                    |          |
| 128                   | 1,850                 | Extension for reply within fifth month                                     |          |
| 119                   | 300                   | Notice of Appeal   |          |
| 120                   | 300                   | Filing a brief in support of an appeal                                     |          |
| 121                   | 260                   | Request for oral hearing   |          |
| 138                   | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140                   | 110                   | Petition to revive - unavoidable   |          |
| 141                   | 1,210                 | Petition to revive - unintentional   |          |
| 142                   | 1,210                 | Utility issue fee (or reissue)   |          |
| 143                   | 430                   | Design issue fee   |          |
| 144                   | 580                   | Plant issue fee  |          |
| 122                   | 130                   | Petitions to the Commissioner  |          |
| 123                   | 50                    | Petitions related to provisional applications                              |          |
| 126                   | 240                   | Submission of Information Disclosure Stmt                                  |          |
| 581                   | 40                    | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                   | 760                   | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                   | 760                   | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| Other fee (specify)   |                       |  |          |
| Other fee (specify)   |                       |  |          |

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$) 170.00****SUBMITTED BY**

|                       |                  |                          |
|-----------------------|------------------|--------------------------|
| Typed or Printed Name | Paul M. Anderson | Complete (if applicable) |
| Signature             |                  | Reg. Number 39,896       |
| Date                  | 9/27/99          | Deposit Account User ID  |

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)  
Total Number of Pages in This Submission **9**

Application Number **09/352,563**  
Filing Date **July 13, 1999**  
First Named Inventor **Gollamudi**  
Group Art Unit **2731**  
Examiner Name  
Attorney Docket Number **1400.4100210**

- ENCLOSURES (check all that apply)**
- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53<br><input checked="" type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> To Convert a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer | <input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br>Declaration |
|--|---|---|

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

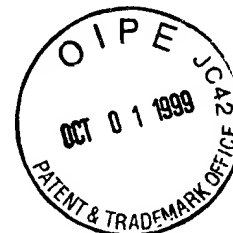
|                 |  |          |        |      |         |
|-----------------|--|----------|--------|------|---------|
| Firm name       | Markison & Reckamp, P.C.<br>175 W. Jackson Blvd. - Suite 1015<br>Chicago, Illinois 60604 |          |        |      |         |
| Signature       | <i>Paul M. Anderson</i>  |          |        |      |         |
| Individual Name | Paul M. Anderson   | Reg. No. | 39,896 | Date | 9/27/99 |

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

|                       |                    |      |         |
|-----------------------|--------------------|------|---------|
| Typed or printed name | Kris Herzog        |      |         |
| Signature             | <i>Kris Herzog</i> | Date | 9.28.99 |

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/352,563         | 07/13/99            | GOLLAMUDI, P.C.       | R 1400.4100210            |

MARKISON & RECKAMP PC  
175 WEST JACKSON BOULEVARD  
SUITE 1015  
CHICAGO IL 60604

0262/0810



NOT ASSIGNED

2731

DATE MAILED:

08/10/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 1376.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 252.00 for 14 total claims over 20.

\$ 251.00 for 3 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

10/05/1999 MBERHE 00000027 09352563  
01 FC:101  
02 FC:105  
03 FC:103  
04 FC:102

760.00 OP  
130.00 OP  
252.00 OP  
234.00 OP